

It's absolutely repulsive that you make the expungement process so one sided. Being in the securities industry for over 25 years I have seen many frivolous complaints, and for your regulatory organization to make the process so expensive and complex to have legitimate meritless cases that are sitting on the CRD is a joke. You are treating the very people that support your regulatory firm like criminals. Only in the securities business are you treated guilty until proven innocent. Shame on your organization for treating the financial professionals that way. You imposing these rules only benefit the attorneys. Find a way to penalize the rogue brokers, and not the broker that may have a rogue client post frivolous complaints on a hard working brokers CRD.

Hopefully this gets squashed.