



Jennifer Piorko Mitchell
Office of the Corporate Secretary
FINRA
1735 K Street, NW
Washington, DC 20006-1506

Re: FINRA Regulatory Notice 22-08: Complex Products and Options

Dear Ms. Mitchell:

WisdomTree Asset Management, Inc. (“WTAM”) appreciates the opportunity to comment on the Financial Industry Regulatory Authority’s (“FINRA”) Regulatory Notice on Complex Products and Options (“Regulatory Notice”).

WTAM is a registered investment adviser with the Securities and Exchange Commission. WTAM is the tenth largest exchange traded product sponsor in the U.S. based on assets under management and has approximately \$48.6 billion in assets under management in the U.S. through exchange-traded funds.

We agree with the Investment Company Institute’s views reflected in its May 5, 2022 comment letter on the Regulatory Notice¹ and oppose any additional requirements by FINRA on “complex” products.

Sincerely,

/s/ Joanne Antico

General Counsel
WisdomTree Asset Management, Inc.

¹ ICI, Comment Letter, Comments on FINRA Regulatory Notice 22-08: Complex Products and Options (May 5, 2022).