

FINra®

Web EFT-to-API Migration Updates

March 5, 2025

Reminder – Web EFT Sunset

- Web EFT will be sunset on April 30, 2025. All currently available XML reports and batch upload functionality will be COMPLETELY RETIRED as of that date.
- Firms or vendors who have any concerns about their ability to meet the April 30 date should reach out to FINRA immediately at API_Developer@finra.org for assistance.

Recent API Developments

- **March 3 Release Notes: New Features and Bug Fixes**
 - <https://developer.finra.org/release-notes/march-3rd-2025-release-notes>
 - Fingerprint API is available, see slide 3.
 - Ability to generate missing “ID” for Composite Individual arrays, see slide 4.
 - Other individual and branch schema updates.
- **Keep up with the latest API news and updates:**
 - Scheduled changes: <https://developer.finra.org/upcoming-changes>
 - Release notes and announcements: <https://developer.finra.org/news-and-updates>
 - Sunset milestones and FAQs: <https://developer.finra.org/webeftsunset>

Individual Fingerprint API

- The previously announced Individual Fingerprint API is available. Review the “Dataset Details” for answers to specific questions about this API.
 - https://developer.finra.org/docs#query_api-registration-individual_fingerprint
 - Scope of data included.
 - Entitlement requirements and credential limits.
 - Required parameters.
- FINRA API Terms of Service have been updated to clarify compliance obligations.
 - <https://developer.finra.org/finra-api-terms-service>
 - See new paragraph in Section 3.8.
 - Recommend consulting with your firm’s legal team when implementing.

Composite Individual: Missing IDs

- The ability to generate missing IDs for Composite Individual arrays is now available in production.
 - Previous workaround was to use legacy instance numbers instead.
- Firms that are interested in having FINRA add the missing IDs for currently associated individuals can send a request to API_Developer@finra.org.
 - Once the IDs are generated, they can be consumed by requesting a new Composite Individual Seed file.

Reminder – Deprecated Datasets

- **Smaller, legacy API datasets will be retired in October 2025:**
 - <https://developer.finra.org/news/announcing-retirement-legacy-individual-and-branch-datasets>
 - Please contact FINRA immediately at API_Developer@finra.org if your organization is still using any datasets marked “Deprecated”.
- **Individual Pre-Registration Search V1 also to be retired in Q4 2025.**
 - Any fields still missing from V2 to be added in Summer 2025.
- **API subscribers should rely on the Composite Individual, Composite Branch, and Individual Pre-Registration Search V2 datasets going forward.**

Remaining Migration Communications

- Consolidated API/EFT support is available at API_Developer@finra.org.
- Webinars are planned for the first Wednesday of each month from 1-2pm ET through April 2025.
 - Agendas and connection information will be sent monthly via broadcast email.
- Office hours will typically be held on the second and fourth Tuesdays of each month through April 2025 for technology staff to discuss development topics.
 - Exact dates and times will be included in each invitation, along with registration link.
 - Attendees are able to submit questions and propose discussion topics in advance.

