FINRA

Web EFT-to-API Migration Updates

February 5, 2025

Individual Fingerprint API

- A new Individual Fingerprint API became available for testing in QA on January 28.
  - https://developer.finra.org/docs#query_api-registration-individual_fingerprint
  - Production deployment targeted for end of February.

- Review the "Dataset Details" for answers to specific questions about this API.
  - https://developer.finra.org/docs#query_api-registration-individual_fingerprint
  - Scope of data included.
  - Entitlement requirements and credential limits.
  - Required parameters.

- FINRA API Terms of Service have been updated to clarify compliance obligations.
  - https://developer.finra.org/finra-api-terms-service
  - See new paragraph in Section 3.8.
  - Recommend consulting with your firm's legal team when implementing.

Reminder – Web EFT Sunset

- Web EFT will be sunset on April 30, 2025. All currently available XML reports and batch upload functionality will be <u>COMPLETELY RETIRED</u> as of that date.

- Remaining major Web EFT sunset milestones:
  - https://developer.finra.org/webeftsunset

- Schedule of upcoming features and fixes:
  - https://developer.finra.org/upcoming-changes

- Release notes and other announcements:
  - https://developer.finra.org/news-and-updates

Common API Questions

- **Is there a special onboarding process for firms using a third-party vendor?**
  - No, the firm should follow the normal process for onboarding to the API platform. The firm can then use the self-service API Console to create a Client ID to share with the vendor.
  - When sharing a Client ID with a vendor, we recommend clearly identifying the firm.

- **Can an existing Client ID be transferred to a different person?**
  - No, the API Console does not support transferring Client IDs. The user who created the Client ID will need to delete the old ID and then create a new one.
  - For information about credential and usage limits, please review the FAQs at the very bottom of this page: https://developer.finra.org/fees.

- **Does testing in QA require separate credentials?**
  - Yes, firms onboarded to the production API can request corresponding QA access: https://developer.finra.org/docs#getting_started-api_platform_basics-qa_test_environment.
  - If you need assistance with an existing QA account, contact FINRA at api_developer@finra.org.

Common API Questions

o **There are some lists of allowed values (enums) that are not provided in the currently available mapping. Where can I find those?**
  - o Since the existing lists of allowed values are not changing as part of the migration, firms can continue to rely on the lists provided in the legacy Web EFT schemas.

o **What information should I provide when reporting a possible API issue to FINRA?**
  - o The following details are very helpful when troubleshooting potential issues:
    - o Firm CRD #
    - o Individual or branch CRD #
    - o Endpoint URL (production or QA)
    - o API Request ID (if available)
    - o Client ID used to call the API
    - o Exact error message and any logs
    - o JSON payload (if available - with sensitive data removed)

Remaining Migration Communications

o **Consolidated API/EFT support is available at API_Developer@finra.org.**

o **Webinars are planned for the first Wednesday of each month from 1-2pm ET through April 2025.**
  - o Agendas and connection information will be sent monthly via broadcast email.

o **Office hours will typically be held on the second and fourth Tuesdays of each month through April 2025 for technology staff to discuss development topics.**
  - o Exact dates and times will be included in each invitation, along with registration link.
  - o Attendees are able to submit questions and propose topics in advance.