



**Attn: Trading and Market Making/Legal and Compliance/Operations/Systems
UNIFORM PRACTICE ADVISORY (UPC #05-25) 01/13/2025 Williams Industrial
Services Group Inc (WLMSQ)**

Notice has been received that the above Debtor's First Amended Combined Chapter 11 Plan of Liquidation became effective on 01/02/2025. All Interests of the Debtors shall be cancelled as of the Effective Date and the Holders thereof shall not receive or retain any property under the Plan on account of such Interests. See the Debtors' First Amended Combined Chapter 11 Plan of Liquidation for more details.¹

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Pursuant to FINRA Rule 11530, members are advised that, among other things, in contracts for securities where a public announcement or publication of general circulation discloses that the securities have been deemed worthless, deliveries shall consist a) the worthless securities or; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to FINRA Rule 11530.

Questions regarding this notice should be directed to: FINRA Market Operations- 1-866-776-0800.

¹ See *e.g.*, In re: Williams Industrial Services Group Inc., *et al.*, Debtors. Chapter 11 Case No. 23-10961 (BLS) (Jointly Administered). Debtors' First Amended Combined Joint Chapter 11 Plan of Liquidation and Disclosure Statement of Williams Industrial Services Group Inc.