

Candidate Profile Form—SFAC Election *(this page will be displayed on finra.org)*

Candidate Name: **Brian D. Buehler**

Title: **President & CEO**

Firm: **Triton Pacific Securities, LLC**

Candidate Biography and Personal Statement *(500 word limit)*

Why am I seeking a position on the Small Firm Advisory Committee? It's simple: we need a strong, reasoned voice in discussions about regulation and its impact on our securities business. I'm Brian Buehler, with over 20 years of experience in investment, product development, and distribution across financial services, commercial real estate, alternative investments, private equity, and investment banking. My team and I manage our firm's capital markets strategy and have developed distribution platforms that have raised significant capital from family offices, institutions, registered investment advisors and broker dealers to support various alternative investment strategies. I also serve as the President and CEO of Triton Pacific Securities, LLC, our dealer manager and have had a long-standing and active relationship with FINRA. In addition, I contribute to several committees, including FINRA's West Region committee, the Institute for Portfolio Alternatives' Compliance, Due Diligence & Industry Product committees and Invest In the USA (IIUSA), an organization dedicated to enhancing the U.S. economy through sustainable job creation. I've also have served for 3-terms as an executive Board of Directors of The Alternative & Direct Investment Securities Association. Lastly, I advise and consult on strategic initiatives for U.S. financial and investment firms and frequently write white papers on related topics. I hold FINRA Series 24, 7, 63, and 79 licenses, as well as California P&C and L&H licenses. I earned my degree from Chapman University. My hope is to continue efforts in improving our financial services industry and humbly ask for your vote.

Optional Links:

Link to personal website, resume or CV: <https://www.tritonpacific.com/our-team>

Link to YouTube video: