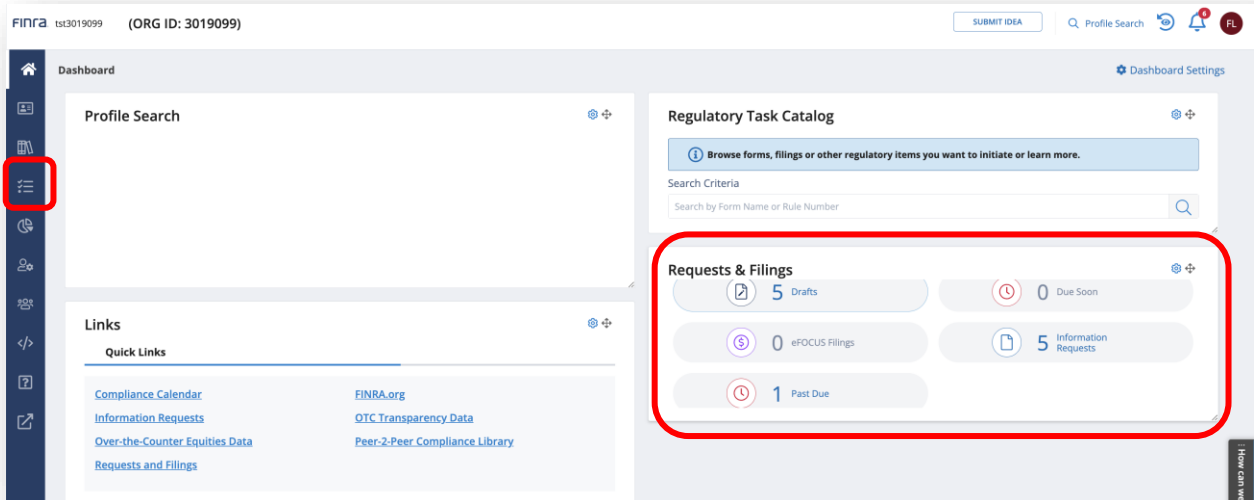


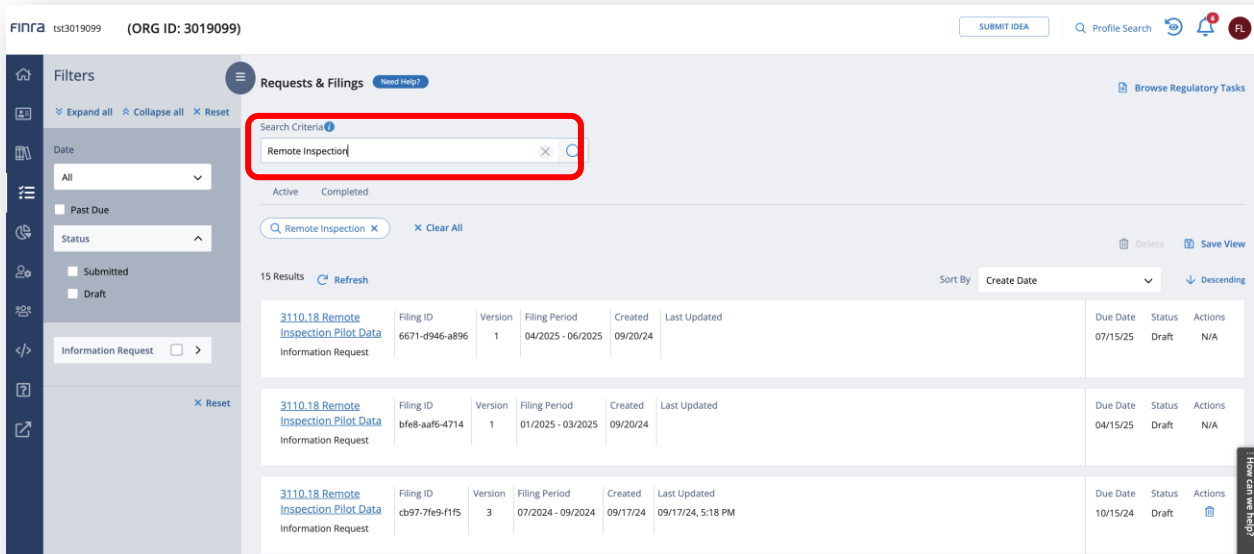
Submission of Remote Inspection Pilot data form

These forms will be auto provisioned on quarterly basis based on your participant status into the Remote Inspection Pilot Program.

1. Login into FINRA Gateway. Navigate to “Requests & Filings section.



2. Search or find your Remote Inspection Pilot Data Form



3. Complete the form and submit.

4. For 2024 participants, on Oct 1, 2024, the following filing periods of Pilot Data will be present,
 - a. 07/2024 – 09/2024
 - b. 01/2024 – 06/2024
 - c. 01/2019 – 12/2024
5. From the next quarter, one Pilot Data Form, will be available every quarter.
 - a. For Q4, 2024, Form will be provisioned on Jan 1, 2025 with due date Jan 15th, 2025
 - b. For Q1, 2025, Form will be provisioned on April 1, 2025 with the due date of April 15, 2025
 - c. And so on until you are no longer a participant or end of pilot program.