

Candidate Profile Form—SFAC Election *(this page will be displayed on finra.org)*

Candidate Name: Jameson Thon

Title: President

Firm: Colorado Financial Service Corporation

Candidate Biography and Personal Statement *(500 word limit)*

I have been in the financial service industry for the last six years. After graduating from college, I spent four years in South America and founded two businesses in the country of Colombia. I started a bar and a motorcycle rental and tour company, which I had never rode a motorcycle in my life before. I created amazing memories and relationships abroad but there was something missing in my life so I sold the companies and decided to go home. Upon returning home, I took a job at a bank, which was short lived as i was offered a position at TIAA. I got my first FINRA licenses and began working in the Spanish department servicing client accounts. After a few years at TIAA, I wanted to increase my knowledge and work more within investment analysis than just servicing client accounts. I interviewed with Colorado Financial Service Corporation to be their Due Diligence Analyst. While at my current firm, I learned a great deal about the independent broker dealer space and the financial industry apart from just qualified accounts. I have been taking on more responsibility within my firm and obtained more licenses, which allowed me to be apart of the operations and compliance side of the business. The knowledge and skills that I have developed over my tenure here have lead to promotions from Due Diligence Analyst to Executive Vice President to now President of Colorado Financial Service Corporation.

My background is in no way conventional compared to many other applicants. I have been able to research, adapt and create something from scratch in another country with different customs and laws. I have worked my way up to the position I currently hold by being curious and trying to find the answers to questions that I don't know. I hope to bring to FINRA a new perspective on current matters and to make a difference for our industry's future.

Optional Links:

Link to personal website, resume or CV: <https://www.linkedin.com/in/jameson-jack-thon-9542029a/>

Link to YouTube video: