

## Candidate Profile Form—Regional Committee Election *(this page will be displayed on finra.org)*

Candidate Name: **MacGregor Maitland**

Title: Chief Risk Officer

Firm: Steward Partners Investment Solution

### Candidate Biography and Personal Statement *(500 word limit)*

I currently serve as the Chief Risk Officer for Steward Partners Investment Solutions where I oversee the following departments; Compliance, Supervision and Product Due Diligence. Prior to joining Steward Partners I served as the Chief Compliance Officer for the 3 broker/dealers associated with Lincoln Financial. I have 20+ years of experience in the financial services industry with experience in Trading, Operations, Supervision and Compliance. I also currently serve in the role of Immediate Past Chairman of the Financial Services Institute's Compliance Council. I am passionate about working with regulators to advocate for our industry in an effort to have sensible regulation that protects investors and allows for efficient markets. I look forward to the opportunity to join the Regional Committee and be able to have participate in ongoing dialogue with FINRA.

### Optional Links:

Link to personal website, resume or CV:

Link to YouTube video: