

## Candidate Profile Form—Regional Committee Election *(this page will be displayed on finra.org)*

Candidate Name: **Peter Smith**

Title: Vice President

Firm: BANKOH INVESTMENT SERVICES, INC

### Candidate Biography and Personal Statement *(500 word limit)*

To whom it may concern,

I am a compliance and risk professional with 18 years industry experience and a diverse background which I believe would serve as a valuable resource for the regional committee. I've served in roles with several firms and have a deep understanding of sales, compliance, risk, front line supervision and corporate oversight. My desire is to bring my years experience to the committee in order to provide benefit the industry as well as to collaborate with individuals from across the country. From 2016 to current day, I've served on FINRAs Series 9/10, 4 exam committee and have provided years of service which has been immensely beneficial to the industry as well as satisfying to me personally. My overall understanding and knowledge of the industry has been cultivated over my years of experience with a majority of the focus on helping to balance the important responsibility of maintaining fairness and transparency to our clients while delivering successful results to our firms. I appreciate the opportunity to be considered for this critical role. If you see me as a worthy candidate, I'll bring my full enthusiasm, willingness to learn from and partner with others to provide a mutually beneficial relationship which will make our industry stronger.

Thank you for considering me,

Pete Smith

### Optional Links:

Link to personal website, resume or CV:

Link to YouTube video: