Candidate Profile Form—Regional Committee Election (*this page will be displayed on finra.org*)

Candidate Name: **Jaya Price**

Title: Compliance Officer   Firm: Qatalyst Partners LP

Candidate Biography and Personal Statement (*500 word limit*)

Hello-

My name is Jaya Price and I am a Compliance Officer at Qatalyst Partners.

I have spent the majority of my career in the compliance and operations sphere. At Qatalyst, I lead the day-to-day operations of the compliance program, including overseeing the firm-wide implementation of new processes, managing audits, inspections, and regulatory examinations while also spearheading initiatives in data security, vendor management, new product implementation, and privacy compliance under regulations such as GDPR and CCPA. One of the key aspects of my work has been ensuring the integration of effective compliance practices beyond traditional financial regulations and incorporating broader legal requirements. Throughout my career, I have worked in both small and large Firms. This has provided me with the unique perspective of understanding the practicality of issues firms must address in applying new regulations/processes and what is needed to create a successful program regardless of size.

I believe my diverse experience across different regulatory and operational roles would be a valuable addition to the Regional Committee. I look forward to collaborating with my peers to discuss pressing industry issues and sharing insights to shape the future of regulatory compliance practices.

I hold FINRA Series 24, 79, and 63 licenses, and hold FINRA's Certified Regulatory and Compliance Professional (CRCP) designation. In addition, I hold a Juris Doctor (JD), Master's Degree, and a Bachelor's in Political Science. I am also a member of the State Bar of California.

It would be an honor to serve on the FINRA Regional Committee, and I look forward to contributing my knowledge and perspective to benefit the industry.

Optional Links:

Link to personal website, resume or CV:

Link to YouTube video: