

## Candidate Profile Form—Regional Committee Election *(this page will be displayed on finra.org)*

Candidate Name: **Dulce Galindo**

Title: Chief Compliance Officer

Firm: Bradesco Investments

### Candidate Biography and Personal Statement *(500 word limit)*

Ms. Dulce Galindo is an accomplished Chief Compliance Officer with nearly two decades of experience in the financial industry. Ms. Galindo is a FINRA-registered professional, holding Series 7, 24, and 66 and a graduate from the FINRA Institute Certified Regulatory and Compliance Professional (CRCP)<sup>®</sup> program. Ms. Galindo also holds a Finance bachelors degree and a Juris Doctor degree from the University of Puerto Rico that has equipped her with a comprehensive understanding of financial and legal frameworks which are essential for navigating the complexities of financial regulations. Her educational background, combined with her extensive practical experience has helped her develop a robust knowledge in regulatory compliance, risk management and corporate governance. Throughout her career, Ms. Galindo has been dedicated to fostering a culture of compliance and ethical conduct within her organization, ensuring adherence to industry regulations while promoting sustainable business practices. Her commitment to continuous learning and development drives her to stay abreast of the ever-evolving regulatory landscape.

As a Chief Compliance Officer my passion lies in ensuring that organizations not only meet regulatory requirements but also uphold the highest ethical standards. My journey in the financial industry has taught me the vital importance of compliance as a foundational pillar of trust and integrity. I am equipped to navigate complex regulatory environments and provide strategic guidance. I believe in the power of education and transparency, fostering an environment where compliance is viewed not as a burden but as a critical component of business success. My goal is to be able to lead a proactive compliance culture that empowers employees at all levels. I am committed to continuous improvement and to adapting to the dynamic nature of our industry, ensuring that compliance frameworks not only protect organizations but also contribute to long-term growth and reputation.

### Optional Links:

Link to personal website, resume or CV:

Link to YouTube video: