

Candidate Profile Form—Regional Committee Election *(this page will be displayed on finra.org)*

Candidate Name: **Alina Monisov**

Title: Director of Compliance

Firm: US Boston Capital Corporation

Candidate Biography and Personal Statement *(500 word limit)*

I have been a member of the financial services industry since 2007 in different roles. I started my career on the Institutional side and worked for State Street and JP Morgan and in 2013 transitioned to the Retail side. Having worked for smaller dually registered firms (broker dealers and RIA's) within their Compliance department in different roles among them as a Chief Compliance Officer of the RIA's, I have gained breadth of knowledge and first hand experience since I needed to wear multiple hats and multitask to learn all aspects of the the business. When new FINRA rules were passed I worked closely with all business units to ensure we prepared, implemented and tested the processes set up to be in compliance with the new rule. Additionally, I have been a member of several committees including but not limited to cyber security, conflicts, best ex and trustee committees. I have a strong operations background having worked with multiple custodians, banks and client reporting systems. I have experience in implementing new systems, processes and controls that significantly improve operational efficiencies. I am also well versed in Fiduciary/Trust Administration having worked for an RIA that also maintained a Trust Company. In addition to the FINRA licenses exams I completed the Investment Adviser Certified Compliance Professional Program (IACCP®) program. I am a member of several industry groups and strive to stay abreast of all upcoming rules.

In my current role I work for a small company with several affiliated entities and I am involved in several areas within the firm outside of Compliance such as Cyber Security, Operations and strategic business planning. I hold a title with the Broker Dealer and RIA. I am interested in joining the regional or small firm Advisory committee because with my diverse professional background and experience I believe I can add value and insight. I am a well-spoken, accomplished and detail-oriented individual who is hard working and willing to make the time commitment to the committee. Outside of work I enjoy travel, trying different wineries, going to the beach, watching documentary films and volunteering for local causes.

Optional Links:

Link to personal website, resume or CV: www.linkedin.com/in/alinamonisov

Link to YouTube video: