

Candidate Profile Form—Regional Committee Election *(this page will be displayed on finra.org)*

Candidate Name: **Mason A Burnham**

Title: Executive Director/ Divisional Supervisory Manager

Firm: Wells Fargo Advisors FiNet, LLC

Candidate Biography and Personal Statement *(500 word limit)*

I am seeking re-election to the FINRA Mid- West Regional Committee, District 4 for one-1 additional term of three-3 years and I am asking for your support and endorsement.

Since my initial election to the Committee in 2021, I have been an active and engaged participant with both FINRA Staff and fellow Committee Members. A consistent presence in meetings offering commentary and insight and perspective to help shape the direction of policy and process in the vein of continuous improvement and industry representation. My years of experience in the business have helped to shape observations, and contributions aimed at helping to lead to the betterment of the industry for all.

A brief recap of my qualifications includes over twenty- five years (25+) spent in industry- related functions varied across sales supervision, transaction approval, suitability, Best Interest, supervision controls, compliance, and operational processes. A solid understanding of FINRA and Industry Rules from both a policy perspective as well as being a Registrant subject to the Rule, including as well, educating others on the implications.

In supporting my re-election, you are helping to place a committed; knowledgeable and engaged industry participant/ Registered Principal into a position where I will continue to do what I am able to support, shape and develop this industry that offers so much to so many. Please endorse my second term by casting your vote for my re-election. Thank you.

Optional Links:

Link to personal website, resume or CV: <https://www.linkedin.com/in/mason-a-burnham-11397911/>

Link to YouTube video: