



**Attn: Trading and Market Making/Legal and Compliance/Operations/Systems  
UNIFORM PRACTICE ADVISORY (UPC #40-24) 06/26/2024 NanoString  
Technologies, Inc. (NSTGO)**

Notice has been received that the above Company's Chapter 11 Plan has become effective on 06/26/2024. Pursuant to the plan, As of the Effective Date, Holders of Interests shall not be entitled to, and shall not receive or retain any property or interest in property under the Plan on account of such Interests, and all Interests of any kind shall be deemed cancelled, released, and extinguished and shall be of no further force or effect. Notwithstanding the foregoing, in the event that there are Distributable Proceeds remaining after satisfaction in full of all Allowed Claims in Classes 1, 2, 3, 4, 5, 6, and 7, each Holder of vested and in-the-money (in respect of Interests that have such provisions) Interests, as of the Effective Date, shall receive its pro rata share of the remaining available Distributable Proceeds.

Additionally, On, or as soon as reasonably practicable after, the Effective Date, the Holders of Allowed General Unsecured Claims shall receive from the Post Effective Date Debtors, in full satisfaction of such Allowed General Unsecured Claims, and with respect to Distributions on account of the Prepetition 2025 Notes Claims, subject to the right of the Prepetition 2025 Notes Trustee to assert its Prepetition 2025 Notes Trustee Charging Lien against such Distributions in accordance with the 2025 Indenture and with respect to Distributions on account of the Prepetition Noteholder Claims, subject to the right of the Prepetition Trustee and Collateral Agent to assert its charging lien against such Distributions in accordance with the 2026 Indenture, (i) Cash equal to the unpaid portion of the Face Amount of such Allowed General Unsecured Claims, including post-petition interest thereon from the Petition Date through and including the date of satisfaction in full in Cash; or (ii) such other less favorable treatment as to which such Holders and the Post-Effective Date Debtors shall have agreed upon in writing. See the Company's Chapter 11 Plan for additional information.<sup>1</sup>

Issuer	Security Description	Maturity Date	CUSIP	Treatment Class
NanoString Technologies, Inc.	2.625% Senior Note Convertible	03/01/2025	63009RAD1	4
NanoString Technologies, Inc.	6.95% Senior Secured Note	09/01/2026	63009RAE9	4

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Pursuant to FINRA Rule 11530, members are advised that, among other things, in contracts for securities where a public announcement or publication of general

<sup>1</sup> See e.g., *In re: NanoString Technologies, Inc., et al.*, Debtors. Chapter 11 Case No. 24-10160 (CTG) (Jointly Administered) Chapter 11 Plan of NanoString Technologies, Inc. and its Affiliated Debtors

circulation discloses that the securities have been deemed worthless, deliveries shall consist a) the worthless securities or; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to FINRA Rule 11530.

Questions regarding this notice should be directed to: FINRA Market Operations - 1-866-776-0800.