

TIMES	Sunday, July 14	Monday, July 15	Tuesday, July 16	Wednesday, July 17	Thursday, July 18	Friday, July 19
8:00 a.m. – 8:50 a.m.		<i>Breakfast</i>	<i>Breakfast</i>	<i>Breakfast</i>	<i>Breakfast</i>	<i>Breakfast</i> 7:30 a.m. - 8:20 a.m.
8:50 a.m. – 9:00 a.m.	ACCOMMODATIONS: The Westin Georgetown 2350 M St NW Washington, D.C. 20037 (202) 429-0100 MEALS: Faculty Club Restaurant CLASSROOM: McDonough School of Business Rafik B. Hariri Building 4th Floor, Room 440 37th and O Streets Washington, DC 20057 ACADEMIC DIRECTOR: Professor James Angel PROGRAM MANAGER: Gloria Carls	Program Mission: <ul style="list-style-type: none">Susanne Goldsmith Program Logistics: <ul style="list-style-type: none">Gloria Carls Program Introduction: <ul style="list-style-type: none">Professor James Angel	Group Exercise Overview <ul style="list-style-type: none">James Angel	Group Exercise Overview <ul style="list-style-type: none">James Angel	Group Exercise Overview <ul style="list-style-type: none">James Angel	Group Exercise Overview 8:20 a.m. - 8:30 a.m. <ul style="list-style-type: none">James Angel
9:00 a.m. – 10:30 a.m.		SESSION 1: Fundamentals of Financial Regulation <ul style="list-style-type: none">James Angel	SESSION 5: Exempt Offerings and Resales I <ul style="list-style-type: none">Samuel Flax	SESSION 9: Broker-Dealer Regulation: Reg BI and Form CRS <ul style="list-style-type: none">James FantoJames Wrona	SESSION 13: Communications With the Public: Advertising and Social Media <ul style="list-style-type: none">James FantoAmy Sochard and Ira Gluck	SESSION 17: FinTech 101 8:30 a.m. - 10:00 a.m. <ul style="list-style-type: none">John Jacobs
10:30 a.m. – 10:45 a.m.		<i>Break</i>	<i>Break</i>	<i>Break</i>	<i>Break</i>	<i>Break</i> 10:00 a.m. - 10:15 a.m.
10:45 a.m. – 12:15 p.m.		SESSION 2: Public Offerings and Civil Liabilities I <ul style="list-style-type: none">Donald Langevoort	SESSION 6: Exempt Offerings and Resales II <ul style="list-style-type: none">Samuel Flax	SESSION 10: Broker-Dealer Regulation: Books, Records, Reports and Sales Practice Compliance <ul style="list-style-type: none">James FantoDave Krajewski	SESSION 14: Broker-Dealer Regulation: Supervision <ul style="list-style-type: none">James FantoKosha Dalal	SESSION 18: FinTech 201 and Emerging Trends in Financial Markets and Regulation 10:15 a.m. - 11:45 a.m. <ul style="list-style-type: none">James Angel
12:15 p.m. – 1:30 p.m.		<i>Lunch/Group Photo</i>	<i>Lunch</i>	<i>Lunch</i>	<i>Lunch</i>	
1:30 p.m. – 3:00 p.m.		SESSION 3: Public Offerings and Civil Liabilities II <ul style="list-style-type: none">Donald Langevoort	SESSION 7: Securities Exchange Act I <ul style="list-style-type: none">Donald Langevoort	SESSION 11: Broker-Dealer Regulation: Financial Responsibility and Managing Risks (Net Capital) <ul style="list-style-type: none">James FantoBonnie Gauch	SESSION 15: Market Structure <ul style="list-style-type: none">James Angel	<i>Box Lunch (provided)</i> 11:45 a.m. - 12:15 p.m. ASSESSMENT 12:15 p.m. - 2:45 p.m.
3:00 p.m. – 3:15 p.m.		<i>Break</i>	<i>Break</i>	<i>Break</i>	<i>Break</i>	
3:15 p.m. – 4:45 p.m.		SESSION 4: Diversity, Equity and Inclusion <ul style="list-style-type: none">Ella Washington	SESSION 8: Securities Exchange Act II <ul style="list-style-type: none">Donald Langevoort	SESSION 12: Effective Communications Within the Firm <ul style="list-style-type: none">Jeanine Turner	SESSION 16: Broker-Dealer Regulation: Best Execution in Equities and Fixed Income <ul style="list-style-type: none">James Angel	THANK YOU FOR ATTENDING. SAFE TRAVELS!
4:45 p.m. – 5:00 p.m.		<i>Break</i>	<i>Break</i>	<i>Break</i>	<i>Break</i>	
5:00 p.m. – 6:00 p.m.		REGISTRATION AND WELCOME RECEPTION 5:00 p.m. – 7:00 p.m.	Group Exercise	Group Exercise	Group Exercise	
6:00 p.m. – 8:00 p.m.		Off-Campus Dinner 6:00 p.m. – 8:30 p.m.	Dinner and Guest Speaker—Greg Ruppert	Dinner at Hotel	Dinner at Hotel	