



FINRA Securities Helpline for Seniors®



A toll-free number that older investors can call to get assistance from the Financial Industry Regulatory Authority (FINRA) or raise concerns about issues with brokerage accounts and investments.

**Call 844-57-HELPS (844-574-3577)
Monday – Friday
9 a.m. – 5 p.m. Eastern Time**

Are you an older investor with questions about your brokerage account statement or an investment in a brokerage account?

Are you concerned that your account might have been mishandled by a broker?

To help you with these and other investment-related questions, FINRA provides the Securities Helpline for Seniors.

Why Should You Call?

- To better understand how to review your investment portfolio or account statements
- To raise concerns about the handling of a brokerage account
- To get information about investor tools and resources from FINRA, including BrokerCheck

Who Should Call?

- Anyone who has questions or concerns about their investment accounts

What Can You Expect?

- During business hours, a FINRA staff member will answer your call and address your questions
- If your concerns are not resolved during that call, FINRA staff will call you back or refer you to another regulator or agency for help

To learn more, call
844-57-HELPS (844-574-3577)
Monday – Friday
between 9 a.m. and 5 p.m. ET
or visit www.finra.org/seniorhelpline.

FINRA is a not-for-profit self-regulatory organization dedicated to investor protection and market integrity. FINRA regulates one critical part of the securities industry—member brokerage firms doing business in the U.S. FINRA, overseen by the SEC, writes rules, examines for and enforces compliance with FINRA rules and federal securities laws, registers broker-dealer personnel and offers them education and training, and informs the investing public. For more information, www.finra.org.