

District 10 – New York Region Candidate

- Gil Aikins, B/D Operations Consultant & Financial Controller Specialist, Kepler Capital Markets, Inc.

Candidate Profile Form—Regional Committee Election

Candidate Name: Gil Aikins

Title: Principal, Series 24

Firm: 139148

Candidate Biography and Personal Statement

I am a well-rounded, disciplined, highly-adaptable professional, possessing exemplary communication attributes, with 25 years of germane experience acquired at top-tier firms in Fixed Income, Corporate Finance, and International Equities. I started my career on the buy side as a mutual fund accountant for Investors Bank & Trust; and subsequently managed two ABCP SPV structures with USD \$7 billion in AUM for a leading Wall Street investment bank. After a successful transition to the sell side, I held various senior positions in management, sales, trading, and operations.

I founded Global Securities Consulting Group LLC (GSCG) in 2017 – a 100% owned & operated minority business enterprise (MBE) delivering senior executive management and C-suite level consulting services; remote Principal staffing and a range of compliance & operational solutions for small broker-dealers, SEC-registered investment advisors, and other financial intermediaries. Core competencies and areas of expertise include: SEC 15a-6 activities; Sales & Trading; Cross-Border Relationship Building & Management; Small-Firm Broker-dealer Operations; Outsource CCO services, 'Anywhere' C-suite/Principal-level Staffing; Board Consultation; Budget Optimization; and SRO Examination fulfillment.

GSCG's clients like, Kepler Capital Markets Inc., rely on me for direct, intangible, value-added, support, and tactical/strategic decision-making which, among other benefits; reduces operational burden; introduces best practices; and minimizes costs at the firm level, helping create an overall conducive culture for business objectives and goal attainment, among the Executive team, key personnel, and additional staff members.

I enjoy giving back to the communities that have shaped my progress and career development and to mentor younger professionals, especially those from minority and international backgrounds. My collaborative approach; uniquely broad financial insights coupled with a grasp of granular industry details; and practical skill-set will help to inform and to harmonize the rule-making landscape that Finra, other supervisory regulators, and market participants industry-wide will be shaping into the foreseeable future.

I obtained a bachelor of Arts degree in English from The College of William & Mary in Williamsburg, VA and an MBA in Finance from Tulane University, New Orleans LA.

Current active Finra licenses include: Series 4, 7, 24, 53, 57, 63, and 79.

I enjoy traveling, reading, and spending time with family.

Optional Links:

Link to personal website, resume or CV: <https://globalsecuritiesconsulting.com/>

Link to YouTube video:
