



FINRA's conference lineup covers a wide range of topics and provides an opportunity to hear the most up-to-date regulatory information and effective practices.

- **[2019 FINRA District Compliance Seminar With the State of Pennsylvania](#) – October 16, 2019 | Harrisburg, PA**
FINRA's District Compliance Seminar with the State of Pennsylvania offers a comprehensive agenda designed for new and experienced compliance and legal professionals. Industry and regulatory speakers facilitate an interactive discussion on regulatory rules and effective practices. This half-day event also includes opportunities to network with industry peers and FINRA District Office staff.
- **[2019 FINRA Small Firm Conference](#) – October 23-24, 2019 | Santa Monica, CA**
The Small Firm Conference focuses on small firms' practices and tips for complying with FINRA rules. Throughout the event, attendees have the opportunity to discuss small firm issues with FINRA senior staff.
- **[2019 FINRA Advertising Regulation Conference](#) – October 24-25, 2019 | Washington, DC**
FINRA's Advertising Regulation Conference offers a comprehensive agenda designed for new and experienced advertising professionals. Industry and regulatory speakers will facilitate interactive, forward-looking discussions on current practices, policies, priorities and emerging regulations. This year's conference also includes opportunities to network with industry peers and meet one-on-one with Advertising Regulation Department staff during office hours and throughout the event.
- **[2019 FINRA Senior Investor Protection Conference](#) – November 12, 2019 | Washington, DC**
The Senior Investor Protection Conference is a one-day event dedicated to sharing the most up-to-date regulatory information and effective strategies and solutions for protecting senior investors and vulnerable adults. This conference includes an expanded focus on FINRA Rules 2165 and 4512—which provide firms with tools to help protect seniors and vulnerable investors from financial exploitation. The conference also offers opportunities to network and discuss issues specific to senior investors with FINRA staff and industry practitioners.
- **[2020 FINRA Annual Conference](#) – May 12-14, 2020 | Washington, DC**
FINRA's premier event—the Annual Conference provides the opportunity for practitioners, peers and regulators to exchange ideas on today's most timely compliance and regulatory topics. The conference offers industry professionals a variety of sessions related to current trends in technology, cybersecurity, risk management and much more.

Stay updated and connect with us on [Twitter](#) and [LinkedIn](#).

