

Award
FINRA Dispute Resolution Services

In the Matter of the Arbitration Between:

Claimant
Jerzy Wojtczak

Case Number: 21-01340

vs.

Respondent
Webull Financial LLC

Hearing Site: Boston, Massachusetts

Awards are rendered by independent arbitrators who are chosen by the parties to issue final, binding decisions. FINRA makes available an arbitration forum—pursuant to rules approved by the SEC—but has no part in deciding the award.

Nature of the Dispute: Customer vs. Member

This matter proceeded pursuant to Rule 12800 of the Code of Arbitration Procedure (“Code”).

REPRESENTATION OF PARTIES

Claimant Jerzy Wojtczak appeared pro se.

For Respondent Webull Financial LLC: Danielle R. Gill, Esq., Webull Financial LLC, New York, New York.

CASE INFORMATION

Statement of Claim filed on or about: May 24, 2021.

Jerzy Wojtczak signed the Submission Agreement: May 23, 2021.

Statement of Answer filed by Respondent on or about: August 19, 2021.

Webull Financial LLC signed the Submission Agreement: August 19, 2021.

CASE SUMMARY

In the Statement of Claim, Claimant asserted the following cause of action: execution errors. The cause of action relates to Exchange-Traded Funds.

Unless specifically admitted in the Statement of Answer, Respondent denied the allegations made in the Statement of Claim and asserted various affirmative defenses.

RELIEF REQUESTED

In the Statement of Claim, Claimant requested: \$9,300.00 in compensatory damages.

In the Statement of Answer, Respondent requested that the Statement of Claim be dismissed in its entirety and a decision rendered in Respondent's favor.

OTHER ISSUES CONSIDERED AND DECIDED

The Arbitrator acknowledges having read the pleadings and other materials filed by the parties.

AWARD

After considering the pleadings, the Arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows:

1. Claimant's claims are denied in their entirety.
2. FINRA Dispute Resolution Services shall retain the \$325.00 filing fee that Claimant deposited previously.

FEES

Pursuant to the Code:

Respondent Webull Financial LLC has paid to FINRA Dispute Resolution Services the \$325.00 Member Surcharge previously invoiced.

ARBITRATOR

Arthur J. Giacomarra

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Sole Public Arbitrator

I, the undersigned Arbitrator, do hereby affirm that I am the individual described herein and who executed this instrument, which is my award.

Arbitrator's Signature

Arthur J. Giacomarra

Arthur J. Giacomarra
Sole Public Arbitrator

12/03/2021

Signature Date

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December 03, 2021

Date of Service (For FINRA Dispute Resolution Services use only)