

SUMMARY	IMPACTED SYSTEM AREA	COMMUNITY
Web CRD		
Effective October 30, 2016, the 'Securities Trader (TR)' and 'Trading Supervisor (TS)' positions will be retired from Forms U4 and U5.	U4 & U5	SROs, Firms
Effective October 31, 2016, NSX will begin to recognize the following registration categories: <ul style="list-style-type: none">• CD, CN, IE, TP	U4 & U5	SROs, Firms
The Pending U4 Filings page will display the filing reference number.	U4	FINRA, Firms