

**FINANCIAL INDUSTRY REGULATORY AUTHORITY
OFFICE OF HEARING OFFICERS**

DEPARTMENT OF ENFORCEMENT,

Complainant,

v.

KJM SECURITIES, INC.
(CRD No. 20277),

Respondent

Expedited Proceeding
No. FPI190006

STAR No. 20190634989

Hearing Officer-DRS

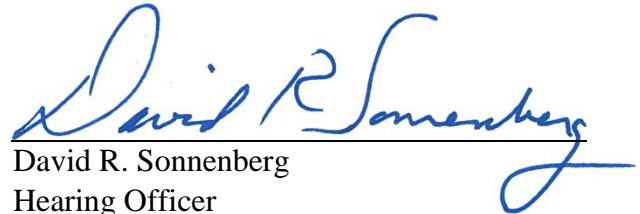
**ORDER PERMITTING PARTIES TO OPPOSE OR OTHERWISE
COMMENT UPON THE HEARING OFFICER'S PROPOSAL TO TAKE
OFFICIAL NOTICE**

FINRA Rule 9145(b), which governs official notice, provides that:

In a proceeding governed by the Rule 9000 Series, an Adjudicator may take official notice of such matters as might be judicially noticed by a court, or of other matters within the specialized knowledge of FINRA as an expert body. Before an Adjudicator proposes to take official notice of a matter, it shall permit a Party the opportunity to oppose or otherwise comment upon the proposal to take official notice.

I propose taking official notice of the record in *Regulatory Operations v. KJM Securities, Inc.*, Expedited Proceeding No. FPI180006, a 2018 FINRA expedited proceeding involving KJM Securities, Inc., Respondent in the instant expedited proceeding (No. FPI190006). The parties shall have until **October 11, 2019**, to file any opposition to, or otherwise file a comment upon, this proposal.

SO ORDERED.


David R. Sonnenberg
Hearing Officer

Dated: October 4, 2019

Copies to:

Kosta J. Moustakas (via email and first-class mail)
Adam H. Balin, Esq. (via email and first-class mail)
Matthew Minerva, Esq. (via email)
Kay L. Lackey, Esq. (via email)
Jennifer L. Crawford, Esq. (via email)