

Financial Professional Gateway

CREATING A FINPRO ACCOUNT

The Financial Professional Gateway (FinPro) is a secure system for current and former registered representatives. Follow the steps within this guide to create a FinPro account to access your individual industry record and other compliance resources.

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Create Your Individual Account

If you have self-enrolled for an exam or used certain FINRA systems, you already have an account. Skip ahead to create your FinPro profile. You only need to login and create your FinPro profile. Open a new internet browser window and go to www.finra.org/finpro then click on 'Log into FinPro' button link on the right rail of the screen.

On this screen Click **Create Account Here**

Welcome to Financial Professional Gateway

User ID or Personal Email

Enter User ID or Email here

Password


Enter password here

[View Password](#)

By clicking "Accept and continue" or "Sign in with Google" I certify that I have read, understood, and accepted the [Privacy Policy](#) and the FINRA [Entitlement Program Terms of Use](#).

ACCEPT AND CONTINUE

or

 SIGN IN WITH GOOGLE

[Forgot User ID or Password?](#)

Don't have an account? [Create Account Here](#)

Check for Existing Accounts

To avoid creating a duplicate account, the process will check to ensure one with your credentials does not already exist in the system. Enter your date of birth, SSN and check the reCAPTCHA, then click on **continue**. If you choose to enter your CRD number instead, the system will redirect you to enter your SSN. You will not be able to proceed further and will need to contact us.

Let's See if You Already Have an Account

Date of Birth*

mm/dd/yyyy

DOB must be 10 characters, format: MM/dd/YYYY.

Do you have a Social Security Number?

☒ Yes
 ☐ No

Social Security Number

#####

Show

Re-enter Social Security Number

#####

Show

☐ I'm not a robot

reCAPTCHA
[Privacy](#) - [Terms](#)

CONTINUE

[Already have an account? Log in.](#)
[Forgot User ID or Password?](#)

If you need help, please contact (240) 386-4040.

If you do not have a SSN, enter your CRD number, check the reCAPTCHA, then click on **continue**.

Let's See if You Already Have an Account

Date of Birth*

mm/dd/yyyy

DOB must be 10 characters, format: MM/dd/YYYY.

Do you have a Social Security Number?

☐ Yes
 ☒ No

CRD Number*

CRD Number

☐ I'm not a robot

reCAPTCHA
[Privacy](#) - [Terms](#)

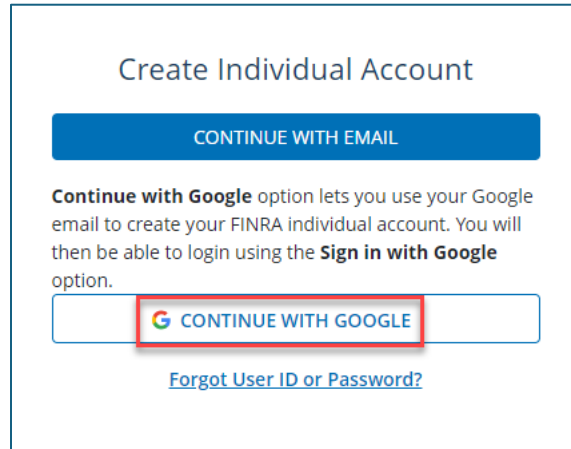
CONTINUE

[Already have an account? Log in.](#)
[Forgot User ID or Password?](#)

If you need help, please contact (240) 386-4040.

Using Social Login


Click on **Continue with Google** to use social login.



Create Individual Account

CONTINUE WITH EMAIL

Continue with Google option lets you use your Google email to create your FINRA individual account. You will then be able to login using the **Sign in with Google** option.

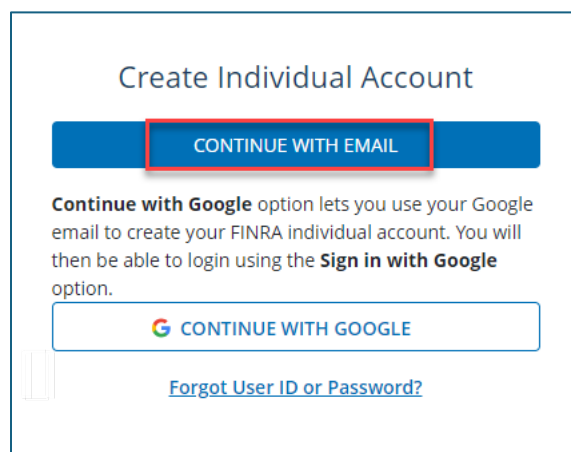
 CONTINUE WITH GOOGLE

[Forgot User ID or Password?](#)

Note: The social login feature allows public/individual authenticated users who require an account to access FINRA's systems to register and log in using their existing Google account credentials. Social login makes the onboarding process easy and simplifies the authentication process by using user's existing Google account information and credentials.

Using Your Email


Click on **continue with email** to begin.



Create Individual Account

CONTINUE WITH EMAIL

Continue with Google option lets you use your Google email to create your FINRA individual account. You will then be able to login using the **Sign in with Google** option.

 CONTINUE WITH GOOGLE


[Forgot User ID or Password?](#)

Complete the form, with your name, email address and a unique user ID of your choice, or you can click on **generate user ID**. You are encouraged to use a personal email you can access, regardless of employer/employment status, for your primary contact. Click on **Accept and Continue**.

Create Account

* indicates required field.

First Name *	Last Name *
<input type="text" value="First Name"/>	<input type="text" value="Last Name"/>
Personal Email *	User ID * Generate User ID
<input type="text" value="Email"/>	<input type="text" value="User ID"/>

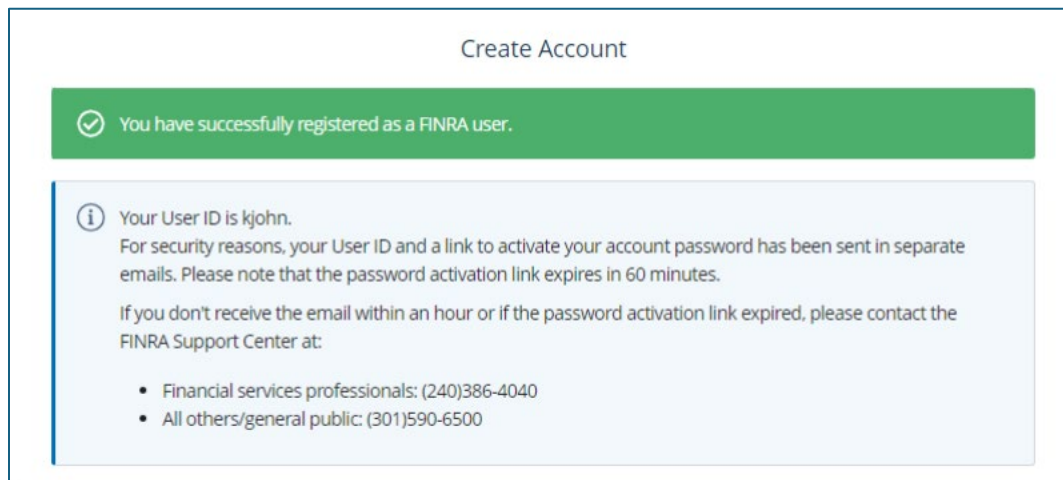
☐ I'm not a robot 
reCAPTCHA
Privacy - Terms

By clicking "Accept and continue" or "Sign in with Google" I certify that I have read, understood, and accepted the [Privacy Policy](#) and the FINRA [Entitlement Program Terms of Use](#).

ACCEPT AND CONTINUE

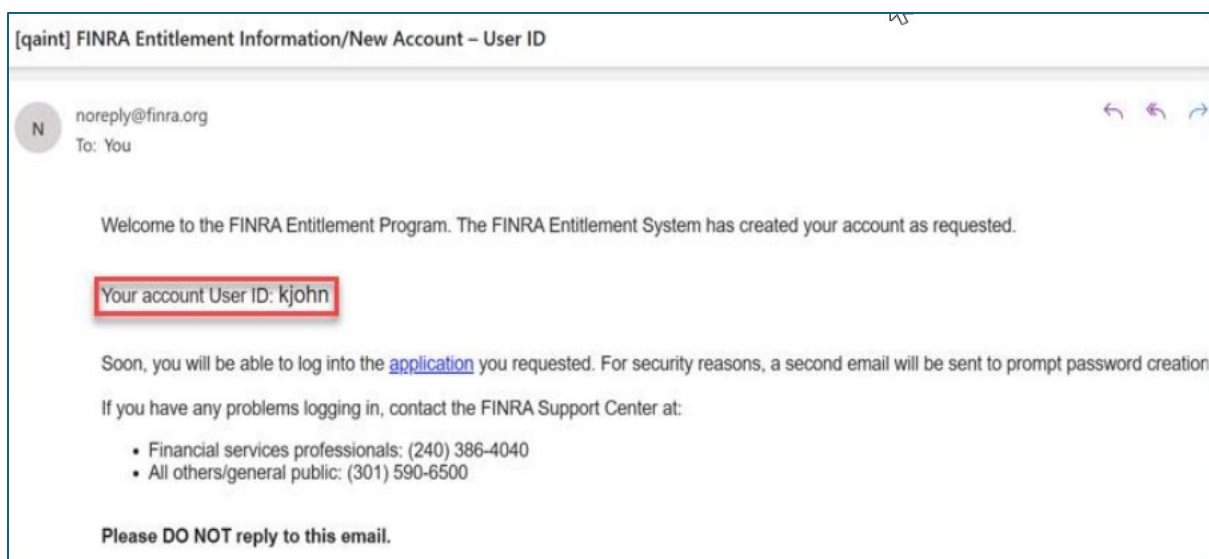
Already have an account? [Log in.](#)

Your individual account has been created.



FINRA Confirmation Email

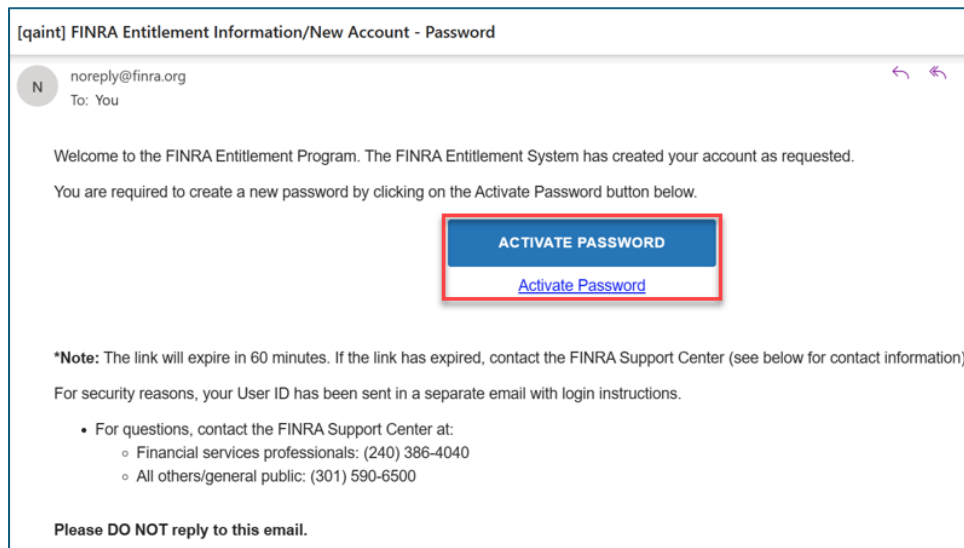
You will receive an email from noreply@finra.org with your user ID. Be sure to check your “spam” or “junk” email folders and add FINRA to your email client’s safe sender list to ensure you receive future emails in your inbox.



Setting Your Personalized Password

Password Activation

You will receive an email from noreply@finra.org to activate your password. Click on **Activate Password**.



Password Reset

Complete the fields to reset your password, then select **Save**.

Reset Password

User ID
kjohn

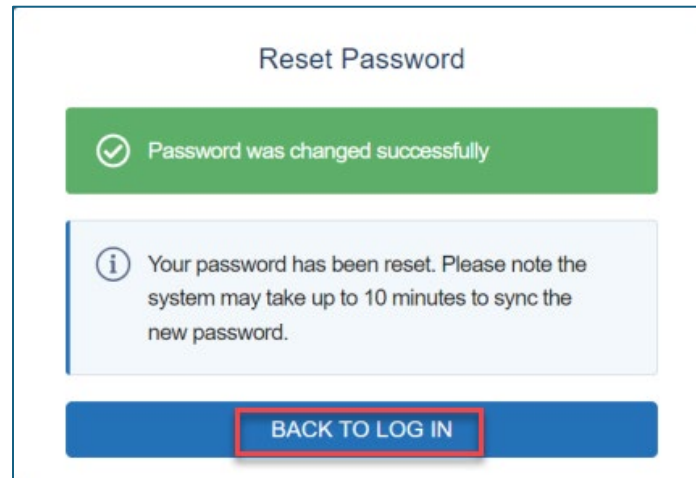
New Password
.....
Show

Confirm New Password
.....
Show

CANCEL SAVE

Log In

Your password has been reset, click on **Back to Log in** to create your FinPro profile.



Reset Password

✓ Password was changed successfully

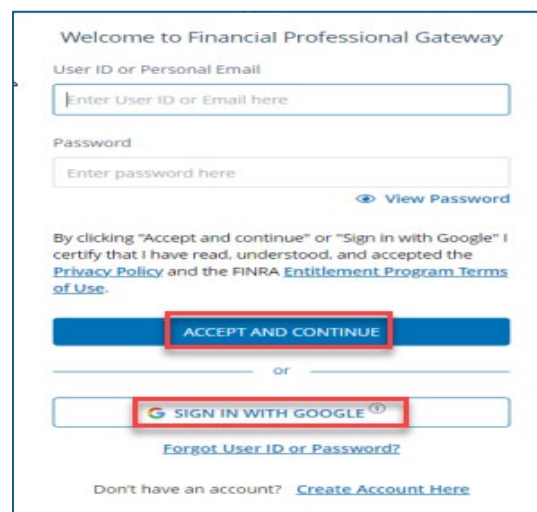
i Your password has been reset. Please note the system may take up to 10 minutes to sync the new password.

BACK TO LOG IN

Create Your FinPro Profile

Log In

Enter your User ID or personal email and password, then click on **Accept and Continue**. You may also click on **Sign in with Google**.



Welcome to Financial Professional Gateway

User ID or Personal Email

Enter User ID or Email here

Password

Enter password here

View Password

By clicking "Accept and continue" or "Sign in with Google" I certify that I have read, understood, and accepted the [Privacy Policy](#) and the FINRA [Entitlement Program Terms of Use](#).

ACCEPT AND CONTINUE

or

SIGN IN WITH GOOGLE

[Forgot User ID or Password?](#)

Don't have an account? [Create Account Here](#)

Security Questions and Answers

Select your security questions and corresponding answers, then click on **Continue**.

Security Questions

Things to consider when answering security questions:
Cannot be guessed or researched. Does not change over time. Is precise, easy, and consistent. Can be remembered. Has many possible answers.

Security Question 1	Answer to Question 1
In what city was your high school? (full name of city only)	
Security Question 2	Answer to Question 2
What is the first name of the best man at your wedding?	
Security Question 3	Answer to Question 3
In what city was your mother born? (Enter full name of city only)	

CONTINUE

Don't have an account? [Create Account Here](#)

Privacy Statement

Read and acknowledge the FINRA Privacy Statement. You must click on **Agree** to continue.

FINRA Financial Professional Gateway Privacy Statement

This Privacy Statement relates to the online information collection and use practices of the FINRA Financial Professional Gateway website, including any embedded forms and applications. This Privacy Statement complements the full FINRA Privacy Policy and may be updated from time to time. Updates to FINRA's privacy policies will be posted here and/or in the full FINRA Privacy Policy, as appropriate.

To enable you to be employed in certain positions or participate in certain matters or opportunities in the securities industry in the United States, FINRA collects certain personal data from you for identity verification and regulatory purposes. Personal information may include your name, personal address, personal phone number, finger prints, employment history, business email address, and any other information that identifies or can be used to identify the person to whom such information pertains. FINRA may use and share your personal information submitted via this Web site for regulatory purposes. In addition, FINRA may share your FinPro contact information with the firm where you are registered so they may verify you are able to receive important and required information.

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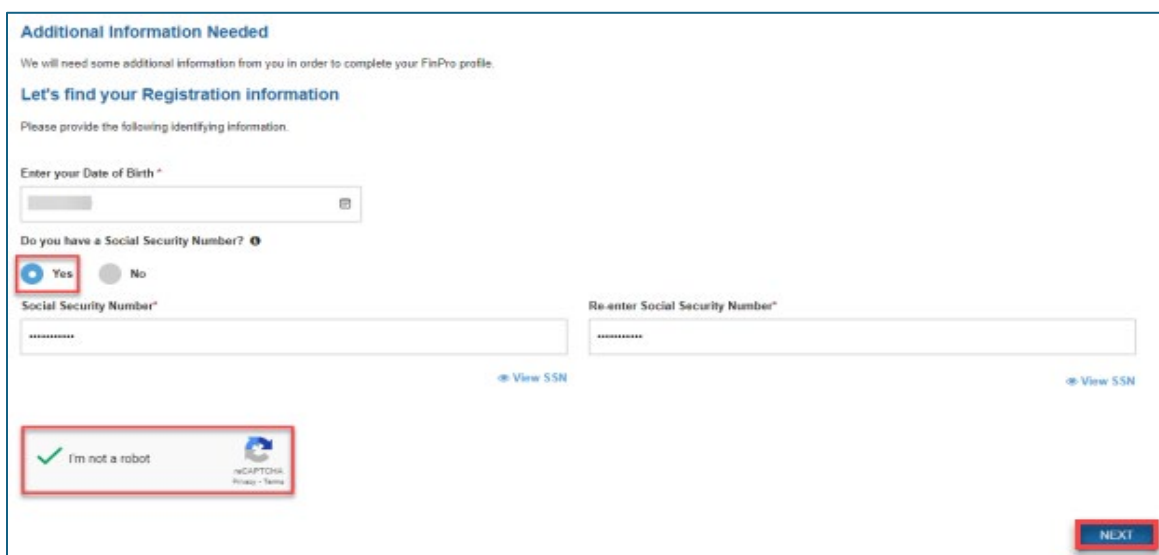
By clicking the button below, I certify that I have read, understood, and accepted the [CE Licensed Product End User Terms of Use](#) and intend to form a binding agreement with FINRA on those terms without modification or amendment thereto.

DISAGREE

AGREE

Identifying Information

Enter the additional information requested. Once you select **Yes**, enter your SSN, check the reCAPTCHA, then click on **Next** to proceed with the identity verification process.



Additional Information Needed

We will need some additional information from you in order to complete your FinPro profile.

Let's find your Registration information

Please provide the following identifying information.

Enter your Date of Birth *

Do you have a Social Security Number?

☒ Yes ☐ No

Social Security Number*

Re-enter Social Security Number*

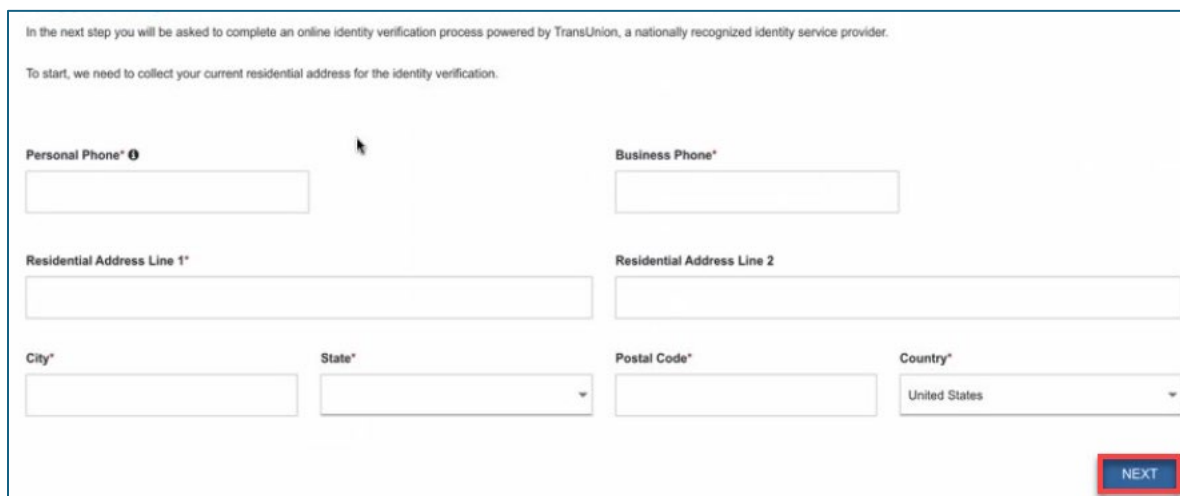
[View SSN](#) [View SSN](#)

☒ I'm not a robot

NEXT

Note: If you have an SSN and choose to enter your CRD number, the system will redirect you to enter the SSN to proceed. If you choose not to enter the SSN you will need to contact the FINRA Support Center for assistance. You will not be able to proceed. If you do not have an SSN, you should select **No**, then enter your CRD number.

Complete the form to verify your identity, then click on **Next**.



In the next step you will be asked to complete an online identity verification process powered by TransUnion, a nationally recognized identity service provider.

To start, we need to collect your current residential address for the identity verification.

Personal Phone*

Business Phone*

Residential Address Line 1*

Residential Address Line 2

City* State* Postal Code* Country*

United States

NEXT

Identity Verification

Click **Continue** to proceed.

✓

Complete Profile

✓

Validate Account

○

Verify Identity

Identity Verification

You will now be asked to complete an online identity verification process powered by TransUnion[™], a nationally recognized identity verification service provider. Two types of verification may be used including one-time passcode or a knowledge based exam to verify your identity. FINRA does not store the questions generated by TransUnion[™] or your responses.

We strongly encourage you to review the [Frequently Asked Questions](#) about online registration, which provide greater detail about the identity verification process.

Continue

Once you have been verified you will have access and be redirected to your FinPro account dashboard.

FinPro Dashboard

The dashboard will display your name and CRD number at the top left and your initials on the top right to confirm that it is your account.

FINRA Financial Professional Gateway User (CRD#123456)

?

MD

Dashboard

My Profile

My Account

Dashboard

My Tasks

Annual Regulatory Element CE

Required

Due on 11/5/2025

[View Program Details](#)

Complete your annual regulatory element continuing education courses to maintain the registrations that you hold.

2025 Assignments (5)

Link

Amended Rules 1210 and 1240: CE Transformation

Launch CE

Information Protection

Launch CE

Information Protection II

Launch CE

Show 2 More Assignments

Shortcuts

Take Firm Element

View U5

Print U4

Continuing Education

Reg Element CE

Required

Incomplete

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Privacy | Legal

Required Profile Fields

Field	Notes
First Name	Please provide your legal first name. This field must match the information provided on registration filings submitted by your firm.
Last Name	Please provide your legal last name. This field must match the information provided on registration filings submitted by your firm.
New User ID	Click the link to the right of this field to auto-generate a unique user id for your FinPro account. Note: User credentials created by an administrator at your firm for another FINRA system cannot be used with FinPro. If you created your own credentials for another FINRA system, you may be able to use them to log in to FinPro and then update your profile. Learn more on the Request Access to FINRA Systems page.
Do you have an SSN?	If you have a US SSN, you are required to answer Yes .
SSN	If you have a US SSN, you are required to provide it in this field. Do not enter any other national identification number or random digits. If you do not have a US SSN, select No , and this SSN field will transform into a mandatory CRD# field. Various errors may appear if the SSN provided on the Create Profile screen does not match information on file in other FINRA systems. Please contact FINRA if you receive an SSN error message that you cannot resolve.
CRD#	If you do not have a US SSN, you are required to provide your individual CRD# instead (see screenshot below). All FinPro users must have a CRD# assigned in order to access the system. If you hold or have held approved registrations, your CRD# will be listed on your BrokerCheck report. Contact your firm or the FinPro support team if you need assistance.
Date of Birth	Date of birth must be entered in the format MM/DD/YYYY. Various errors may appear if the date of birth provided on the Create Profile screen does not match information on file in other FINRA systems. Please contact FINRA if you receive an error message that you cannot resolve.
Residential Address <ul style="list-style-type: none"> City State Postal Code County 	Current residential address information is required. If the system cannot confirm that the details provided in these fields are geographically accurate, you will be prompted to confirm the information before proceeding. Please note that you should provide your current residential address, even if it has not yet been updated on your industry record. This information is used to verify your identity and finish creating your FinPro account.
Personal Phone	A personal phone number is also required and may be used to verify your identity while creating your FinPro account.
Business Phone	Please provide an appropriate business phone number.
Personal Email	Please provide a personal email address that you will maintain access to when not with a firm. This email address is used to create your account, complete password resets, and send important FinPro system notifications.