

15c3-1(c)(2)(iv)(E)/024 (Proprietary Accounts of Other Broker-Dealers)

The PAIB letter applies to all broker-dealers with cash and/or securities, including exempt securities, on deposit in a proprietary account at another broker-dealer.

(SEC Staff to NYSE) (No. 99-6, May 1999)

(SEC Staff to NYSE) (No. 00-6, September 2000)